

**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

010270

Total Pages

First Named Inventor or Application Identifier

**Masanori IKARI**

Check Box, if applicable [ ] Duplicate

Express Mail Label No.

**APPLICATION ELEMENTS FOR:  
WORKING UNIT CONTROL APPARATUS OF  
EXCAVATING AND LOADING MACHINE**

ADDRESS TO:

Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [57]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [9]
4. ☒ Oath or Declaration Total Pages [4]
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s)) to: **Komatsu Ltd., Tokyo, Japan**
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. 010270

First Named Inventor or Application Identifier

Masanori IKARI

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)

- a. Priority of Japanese application No. 2000-085427 filed on March 24, 2000 is claimed under 35 USC 119.  
b. Priority of Japanese application No. 2000-085446 filed on March 24, 2000 is claimed under 35 USC 119.  
c. Priority of Japanese application No. 2000-085448 filed on March 24, 2000 is claimed under 35 USC 119.

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$710.00
Total Claims	16 - 20		x \$18.00	
Independent Claims	6 - 3	3	x \$80.00	\$240.00
Multiple Dependent Claims			\$270.00	\$270.00
Basic Filing Fee				\$1220.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$40.00
TOTAL				\$1260.00

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

010270

First Named Inventor or Application Identifier

Masanori IKARI

PAGE 3 OF 3

[XX] A check in the amount of **\$1260.00** is enclosed to cover the filing fee including **\$710.00** for basic filing fee; **\$240.00** for 3 extra independent claims; **\$270.00** for multiple dependent claims and the assignment recordation fee of **\$40.00**.

[ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name Mel R. Quintos

Reg. No. 31,898

Signature

Date: March 22, 2001